

|   |  |  |  |
|---|--|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> |  | Attorney Docket No. <b>10436-0009-999</b> Total Pages <b>115</b> |  |
| First Named Inventor or Application Identifier  |  |  |  |
| Douglas Levinson  |  |  |  |
| Express Mail Label No.  |  | EL 501 636 477 US  |  |

  

|   |   |
|---|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>Submit an original, and a duplicate for fee processing)<br>2. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <u>105</u>]</span><br>(preferred arrangement set forth below)<br>-Descriptive title of the Invention<br>-Cross Reference to Related Applications<br>-Statement Regarding Fed sponsored R&D<br>-Reference to Microfiche Appendix<br>-Background of the Invention<br>-Brief Summary of the Invention<br>-Brief Description of the Drawings (if filed)<br>-Detailed Description of the Invention (including drawings, if filed)<br>-Claim(s)<br>-Abstract of the Disclosure<br>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">[Total Sheets <u>8</u>]</span><br><input checked="" type="checkbox"/> Oath or Declaration (unexecuted) <span style="float: right;">[Total Sheets <u>2</u>]</span><br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior<br>application, see 37 CFR 1.63(d)(2) and 1.33 (b).<br><input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath<br>or declaration is supplied under Box 4b, is considered as being part of the<br>disclosure of the accompanying application and is hereby incorporated by<br>reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |   |
| 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br>(when there is an assignee)<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>12. <input type="checkbox"/> Preliminary Amendment<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)<br>14. <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application,<br>Statement(s) Status still proper and desired<br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)<br>16. <input type="checkbox"/> Other:  |   |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: filed .  |   |
| <b>18. CORRESPONDENCE ADDRESS</b>   |   |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">20583</span> or <input type="checkbox"/> Correspondence address below<br>(Insert Customer No. or Attach bar code label here)  |   |
| NAME  |   |
| ADDRESS   |   |
| CITY  | STATE ZIP CODE  |
| TELEPHONE   | FAX   |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

TO/80/TO  
Jc760 U.S. PTO

01-89-u

**PENNIE & EDMONDS LLP**  
COUNSELLORS AT LAW  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090

Express Mail No.: EL 501 636 477 US

Jc803 U.S. PTO  
09/756092  
TO/80/TO

ATTORNEY DOCKET NO. 10436-0009-999

Date: January 8, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:  
The following utility patent application is enclosed for filing:

Applicant(s): Douglas Levinson *et al.*

Executed on: Unexecuted

Title of Invention: HIGH-THROUGHPUT FORMATION, IDENTIFICATION, AND ANALYSIS OF DIVERSE SOLID FORMS

**PATENT APPLICATION FEE VALUE**

| TYPE   | NO. FILED | LESS | EXTRA | EXTRA RATE   | FEE           |
|--|-----------|------|-------|--------------|---------------|
| Total Claims   | 169       | -20  | 149   | \$18.00 each | \$ 2,682.00   |
| Independent  | 12        | -3   | 9     | \$80.00 each | \$ 720.00     |
| Minimum Fee  |           |      |       |              | \$ 710.00     |
| Multiple Dependency Fee<br>If Applicable (\$270.00)  |           |      |       |              | \$ 0.00       |
| <b>Total</b>   |           |      |       |              | \$ 4,112.00   |
| 50% Reduction for Independent Inventor, Nonprofit<br>Organization or Small Business Concern (a verified statement<br>as to the applicant's status is attached) |           |      |       |              | - \$ 2,056.00 |
| <b>Total Filing Fee</b>  |           |      |       |              | \$ 2,056.00   |

- ☒ Priority of provisional application nos. 60/221,539; 60/196,821; and 60/175,047 filed on July 28, 2000; April 13, 2000; and January 07, 2000, respectively, in the United States is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

*Anthony M. Insogna*  
Anthony M. Insogna  
PENNIE & EDMONDS LLP

35,203  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.